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JAN 08 2002
PATENT AND TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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AK

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,246	09/17/2001	Ajit P. Joshi	42390P11764

CONFIRMATION NO. 8621

FORMALITIES LETTER



OC000000006948167

Mark L. Watson
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
Los Angeles, CA 90025-1026

Date Mailed: 10/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

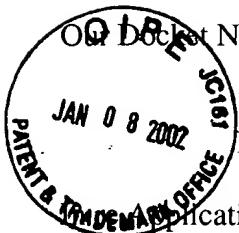
- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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130.00 0P

*A copy of this notice **MUST** be returned with the reply.*

U9Koromo

01/14/2002 MBERHE
01 FC:105



DOCKET No: 042390.P11764

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Joshi et al.) Examiner: Not yet assigned
Application No.: 09/955,246) Art Unit: Not yet assigned
Filed: September 17, 2001)
For: A Method for Providing Database Security)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed October 22, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;

FIRST CLASS CERTIFICATE OF MAILING
(37 C.F.R. § 1.8 (a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

On November 29, 2001

Date of Deposit

April Worley

Name of Person Mailing Correspondence

April Worley

"11/29/01

Signature

Date

Docket No.: 042390.P11764
Application No.: 09/955,246

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 11/28/01



Mark L. Watson
Reg. No. 46,322

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025-1026
(303) 740-1980



PTO/SB/17(09-00)

Approved for use through 10/31/2002. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 09/955,246

Filing Date September 17, 2001

First Named Inventor Joshi et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. 042390.P11764

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666 FIRST CLASS CERTIFICATE OF MAILING
 (37 C.F.R. § 1.8 (a))
 Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

2. Payment Enclosed:

Check
 Money Order
 Other

On November 29, 2001 Date of Deposit

April Worley
 Name of Person Mailing Correspondence

April Worley 11/29/01
 Signature Date

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	(\$)	Code	(\$)
101	740	201	370
106	330	206	165
107	510	207	255
108	740	208	370
114	160	214	80
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 0.00

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims <u>- 20**</u> = <u>18.00</u>	X	=
Independent Claims <u>- 3**</u> = <u>84.00</u>	X	=
Multiple Dependent		=

**Or number previously paid, if greater; For Reissues, see below.

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	84	202
104	280	204
109	84	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath 130.00
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for ex parte reexamination
099	8,800	099	Request for inter partes reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	400	216	Extension for response within second month
117	920	217	Extension for response within third month
118	1,440	218	Extension for response within fourth month
128	1,960	228	Extension for response within fifth month
119	320	219	Notice of Appeal
120	320	220	Filing a brief in support of an appeal
121	280	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee
141	1,280	241	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee
142	1,280	242	Utility issue fee (or reissue)
143	460	243	Design issue fee
144	620	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	180	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	740	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	740	249	For each additional invention to be examined (see 37 CFR 1.129(b))
179	740	279	Request for Continued Examination (RCE)
169	900	169	Request for expedited examination of a design application
Other fee (specify) _____			
Other fee (specify) _____			

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Mark L. Watson

Signature: Mark L. Watson Date: 11/24/01

Reg. Number: 46,322 Telephone Number: 303-740-1980